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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/803,183	03/17/2004	Chiou-Feng Chen	A-75030

40461
 EDWARD S. WRIGHT
 1100 ALMA STREET, SUITE 207
 MENLO PARK, CA 94025



CONFIRMATION NO. 5108

FORMALITIES LETTER



OC000000012830580

Date Mailed: 06/01/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/05/2004 AWONDAF1 00000039 10803183

01 FC:2001	385.00 OP
02 FC:2051	65.00 OP
03 FC:2201	86.00 OP
04 FC:2202	108.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$194** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$644** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$194**

- \$86 for 2 independent claims over 3.
- \$108 for 12 total claims over 20.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the citizenship of each inventor.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CHIOU-FENG CHEN ET AL.

Serial No. 10/803,183

Filed: March 17, 2004

For: SELF-ALIGNED SPLIT-GATE
NAND FLASH MEMORY AND
FABRICATION PROCESS



Examiner: Unknown

Group Art Unit: 2818

July 30, 2004

TRANSMITTAL OF FORMAL PAPERS AND FILING FEE

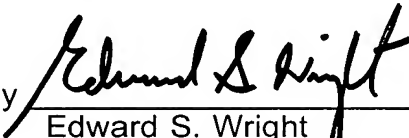
Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts mailed June 1, 2004, copy attached, applicant is submitting the Declaration and Power of Attorney for this application.

A check in the amount of \$644.00 is also enclosed for payment of the filing fee and surcharge. The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-2975, (Order No. A-75030).


Respectfully submitted,

By 
Edward S. Wright
Registration No. 24903

(650) 330-0830

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THE FOREGOING TRANSMITTAL LETTER AND THE ATTACHED DECLARATION, POWER OF ATTORNEY AND CHECK IN THE AMOUNT OF \$644.00 ARE BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, IN AN ENVELOPE ADDRESSED TO: MAIL STOP MISSING PARTS, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 ON July 30, 2004.


EDWARD S. WRIGHT